

# SPATIOTEMPORAL DATA MODELLING FOR CHINESE FOREST GEO-ENTITY SUB-COMPARTMENT

KAI XIA<sup>1,2</sup> JUN PENG<sup>1</sup>

<sup>1</sup>*School of Information Engineering;* <sup>2</sup>*Zhejiang Provincial Key Laboratory of Forestry Intelligent Monitoring  
and Information Technology Research.*

*Zhejiang Agriculture and Forestry University, Lin'an 311300, China*

**ABSTRACT.** Sub-compartment, the most significant type of forest geo-entity, is the basic unit of forest management in China. Apart from having a certain shape, the sub-compartment has social attributes, such as ownership, as well as natural attributes, such as tree species, stand volume, and average diameter at breast height (DBH). The evolution of the sub-compartment is a spatiotemporal process that involves gradual and abrupt changes. A forest resource spatiotemporal data model is designed based on a spatiotemporal expression method named sequence states which could express the spatiotemporal evolution process that involves gradual and abrupt changes. The example shows that when the data from the entire region or from a few sub-compartments are updated, all of them can be stored and related well in the database constructed based on our model. Not only the history of a sub-compartment but also its ancestor sub-compartments can be retrieved and displayed on the client window. The controls, space-time cube and history tree, are designed to visualize the evolution of sub-compartments.

**Keywords:** spatiotemporal data model; sequence states with no fixed frequency; forest resource; forest management, history tree, space-time cube

## 1 INTRODUCTION

Spatiotemporal research has been applied in numerous fields, e.g., cadastral management and transportation. However, in forest resource management, the spatiotemporal data model has not been studied extensively. The reason lies in the lack of suitable spatiotemporal evolution expression method for forest resource spatiotemporal modelling.

Currently, the snapshots method and event method being used are the typical spatiotemporal evolution expressing methods. The snapshots method introduced by Langran (1988) is used to represent spatiotemporal evolution by a sequence of snapshots. The method is simple and available but weak in data association. The event method introduced by Peuquet (1994) can be used to record and express abrupt change. Events can be counted as the driver of data updating and the unit of data organisation.

In the field of forest management, the two methods have been both used so far, e.g., the data products of forest management surveys in China are organised as snap-

shots stored in the forest departments at all levels. The forest resource data model based on the event method, which can represent the abrupt change in the spatiotemporal evolution, has been studied by Gao (2007 2008) and other Chinese researchers (Tang, Tang & Hu 2008; Zhao, Li & Wang 2012 ). However, these models did not consider the evolution of nature attributes, such as stand volume and average DBH. Thus, the model was imperfect. Rasinmaki (2003, 2007) proposed a conceptual spatiotemporal model in hierarchical structure to represent the evolution of the environmental spatial objects and applied it to manage multi scale of forest resource data. But these works are not based on the present situation of forest management in China. Other spatio-temporal modelling works related to environment are available in the papers (Mari & Benoît 2013; Pinet, 2012; Gebbert & Pebesma 2014).

A forest resource spatiotemporal data model is designed in this paper based on a spatiotemporal express method named sequence states, which is the combination of the snapshots and event methods.

## 2 SPATIOTEMPORAL PROCESS ANALYSIS OF FOREST GEO-ENTITY

Spatiotemporal process, the foundation of spatiotemporal modelling, is a concept developed to represent the changes of geo-entity occurring in both space and time (National Administration of Surveying, Mapping and Geoinformation 2012). Geo-entity is a category of natural or artificial geographical features with common characteristics (Claramunt, Parent & Thériault 1997). Sub-compartment is the basic unit of forest resource survey, statistics and management.

The sub-compartment, as a category of geo-entity, has a shape of its own. The area of the shape is not the same in every province in China, e.g., in Zhejiang Province, the area is from 0.4 ha to 15 ha (Monitoring Center for Forest Resources in Zhejiang Province 2008), and in Heilongjiang Province, the area is from 0.4 ha to 20 ha (Liu et al. 2011). A large number of attributes, such as ID, ownership, right to use, terrain, cover degree, forest class, site level, community structure, land type, dominant tree species and forest stand factors (including average tree height, average DBH, stand volume and canopy) belong to the sub-compartment (Monitoring Centre for Forest Resources in Zhejiang Province 2004). The concept of the sub-compartment is different from stand because every piece of land in the Chinese forest map, including non-forest land, is considered to be a kind of sub-compartment.

In the following sections, this paper discusses the spatiotemporal process of the sub-compartment from three aspects: 1. spatial relationship feature; 2. temporal feature; and 3. spatiotemporal evolution feature.

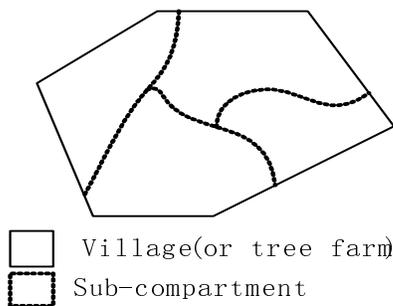


Figure 1: Relation between GeoEntity.

1. **Spatial Relation Feature:** The sub-compartment must belong to a village (or tree farm). Therefore, the shape of a village or a tree farm is divided into polygons which are the shapes of the sub-compartments. The shapes of sub-compartments do not overlap with one another, and no gap exists between them (as shown in Fig. 1).

2. **Temporal Feature:** The sub-compartment has a life cycle characterised by creation, development, and extinction.
3. **Spatiotemporal Evolution Feature:** The evolution of the sub-compartment can be described from four aspects: (1) several attributes, such as ID and ownership, are not changed in the life cycle of the sub-compartment. As shown in Figure 2, the change in the ownership of sub-compartment A, which has not been changed previously, leads to the extinction of the sub-compartment in its 13-th year. (2) The boundary divisions of the shape are related to various factors such as ownership, right to use, land type, forest stand factors, and tree species. The boundary divided by the tree species does not match the actual situation over time. Under normal conditions, the boundary adjusts every 5 or 10 years to keep the boundary of the sub-compartment in accordance with the natural boundary of the tree species. Figure 2 illustrates that the boundary between A and B is moved twice and that the course can be considered as a type of gradual change. By contrast, the shape is abruptly changed by an event such as fire or administrative boundary transfer. (3) Certain attributes, such as dominant tree species and community structure, change in a discrete step during the life cycle of the sub-compartment. (4) As shown in Figure 2, forest stand factors, such as stand volume and DBH, continuously and gradually change under normal conditions. However, they can also change abruptly, e.g., in the ninth year, the stand volume decreases by a wide margin because of pests.

The evolution of the sub-compartment is a spatiotemporal process that includes gradual change and abrupt change. Under normal conditions, the stand volume, DBH, and shape gradually change. However, at a specific time, the sub-compartment changes remarkably. After the abrupt change, the sub-compartment returns to the process of gradual change.

## 3 SPATIOTEMPORAL EVOLUTION EXPRESSION METHOD

In this section, a spatiotemporal evolution method named sequence states, which was proposed by Xia et al. (2013), is introduced to represent the spatiotemporal process of the forest geo-entity sub-compartment.

**3.1 Snapshot Method and Event Method** A large number of spatiotemporal data models or methods are in use. However, all of them have been developed based on two basic expression approaches: snapshot method

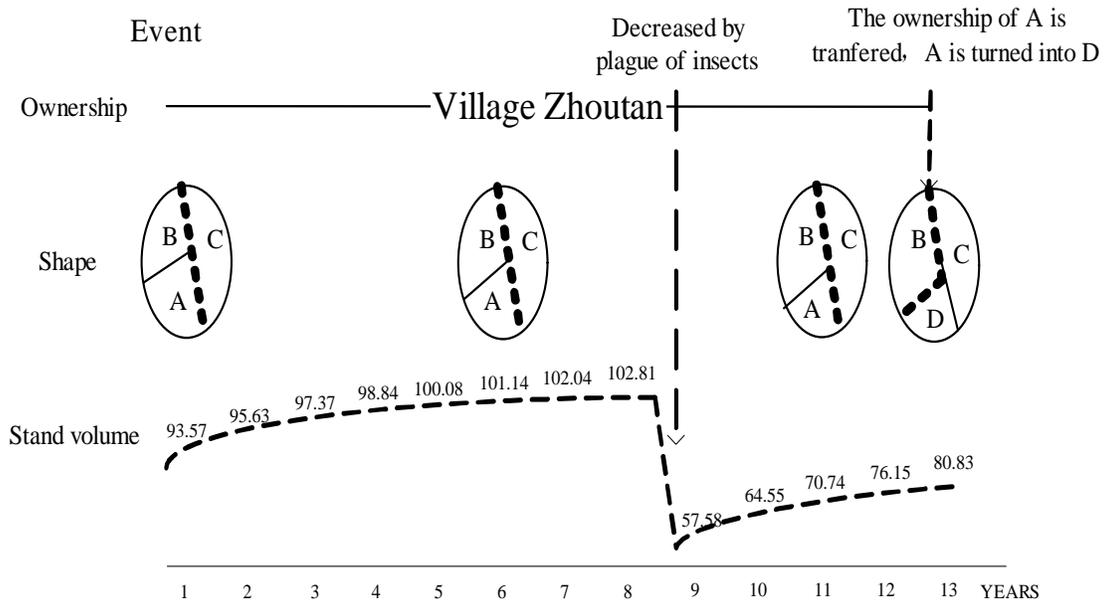


Figure 2: The Spatio-Temporal Evolution of Sub-Compartment.

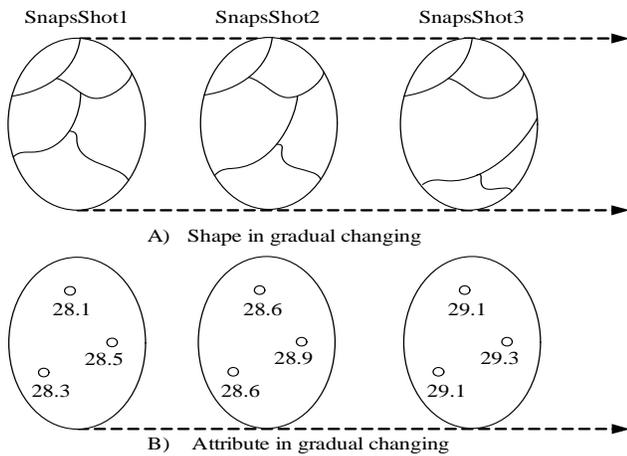


Figure 3: Snapshots method and gradual change.

and event method. These two methods have numerous similarities and differences, which are presented in Table 1(Xia et al. 2013).

As shown in Figure 3-A, three snapshots express the evolution of a region. However, no data are available to record the reason for the change, which should be analysed by the researcher. This method is used in remote sensing image management more frequently. Figure 3-B shows another form of snapshots in which the shape

of the geo-entity does not change but the attribute attached to the shape changes gradually. Sometimes this method is called “sequence data,” which is used in the meteorological, hydraulic and forest fields, to name a few.

Figure 4-A shows mutational events in which geo-entity A is divided into two entities: geo-entity B and geo-entity C. In addition to the states of geo-entities, the details of the change are recorded in the event object. This method is widely applied in cadastral and land use management. Sometimes, the shape is not changed but the attribute is changed, which is also recorded in the event object, as shown in Figure 4-B.

**3.2 Sequence States Method** Usually, the snapshot method and the event method are used independently. As mentioned, the spatiotemporal process of forest geo-entity includes gradual change and abrupt change. A single method is insufficient to express the process.

The paper (Xia et al. 2013) considers that the feasible solution is a combination of the snapshot method and the event method to express the spatiotemporal process. In Figure 5, the curve represents a life cycle that includes creation, development, and extinction. Snapshots conducted in the entire region are used to record the current state at intervals to observe gradual change, and the event method is used to record the state after abrupt change. If an entity undergoes this process, it can be

Table 1: Difference between snapshot and event methods.

	Snapshot	Event
Frequency	Fixed time	Real-time update
Scope	All geo-entity	Geo-entity evolved in event
Application character	Express gradual change, analyse abrupt change (Liu 2004)	Express abrupt change

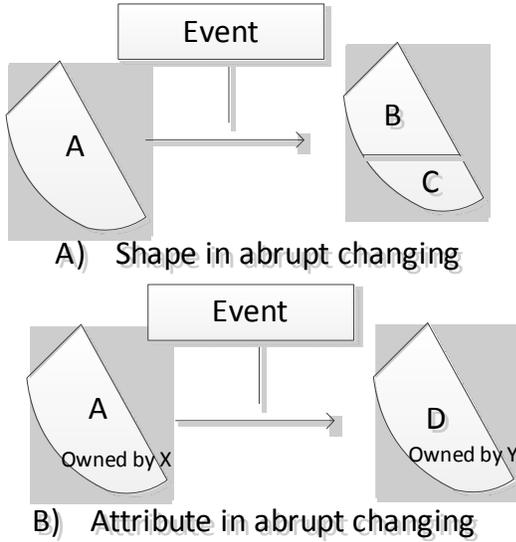


Figure 4: The method of Event and abrupt change.

represented by an ID with a series of states. The ID represents the entity itself. Certain states are added at intervals to its life cycle, whereas others are inserted after a mutational event to record change. An event object, connected with the state, is created to record information on the event.

The spatiotemporal evolution expressing method, which can be used to express the process that includes gradual change and abrupt change, is called sequence states. The geo-entity is considered as a set of states, as shown in Expression (1).

$$Geo - Entity = \{id, \sum state_i\} \quad (1)$$

‘State’ comprises shape and all other attributes, as shown in Expression (2).

$$State = \{Shape, \sum Attribute_i\} \quad (2)$$

‘State’ has time duration (Td), which lasts from ‘begin time’ (Tbegin) to ‘end time’ (Tend), as shown in Expression (3).

$$StateTd = StateTbegin - StateTend \quad (3)$$

In the state sequence, the ‘end time’ of the state is equal to the ‘begin time’ of the next state.

$$State_{i+1}.begin = State_i.tend \quad (4)$$

The main ideas behind the methods are as follows: (1) Geo-entity consists of the process of creation, development, and extinction. (2) The creation and extinction of the geo-entity, which characterise typical abrupt change, can be represented by an event. (3) The development of a geo-entity is represented by a series of states. A state depicts a geo-entity in a single moment. The time interval between states is not fixed.

#### 4 OBJECT-ORIENTED FOREST SPATIOTEMPORAL DATA MODEL BASED ON SEQUENCE STATES

In this section, an object-oriented forest spatiotemporal data model including its spatiotemporal constraints was put forward.

**4.1 Layering and Expressing of Spatiotemporal Process of Sub-compartment** As mentioned, the evolution pattern and evolution speed of the different parts of the sub-compartment are not the same. Therefore, classifying the shape and attributes is necessary to form certain layers. The layering aims to construct different layers in different intervals of sequence, reduce data redundancy, and make the expression reasonable.

The sub-compartment is divided into two parts: One is ‘ID and constant attributes’, which does not change during the life span of the sub-compartment, i.e., the sub-compartment becomes extinct if the ownership changes. The other part is ‘state’, which is the part that changes during the life span. The state can be divided further into two components: one is shape and discrete change attributes; the other is stand factors (also called ‘consecutive change attributes’). We have observed the following conditions: (1) as Figure 2 shows, the shape exhibits discrete and gradual change, the frequency is low (once every 5 or every 10 years) and the discrete change attributes show a similar change pattern. Therefore, the shape and discrete change attributes are grouped together as the basic part of the geo-entity. (2) Stand factors (or consecutive change attributes), including stand volume,

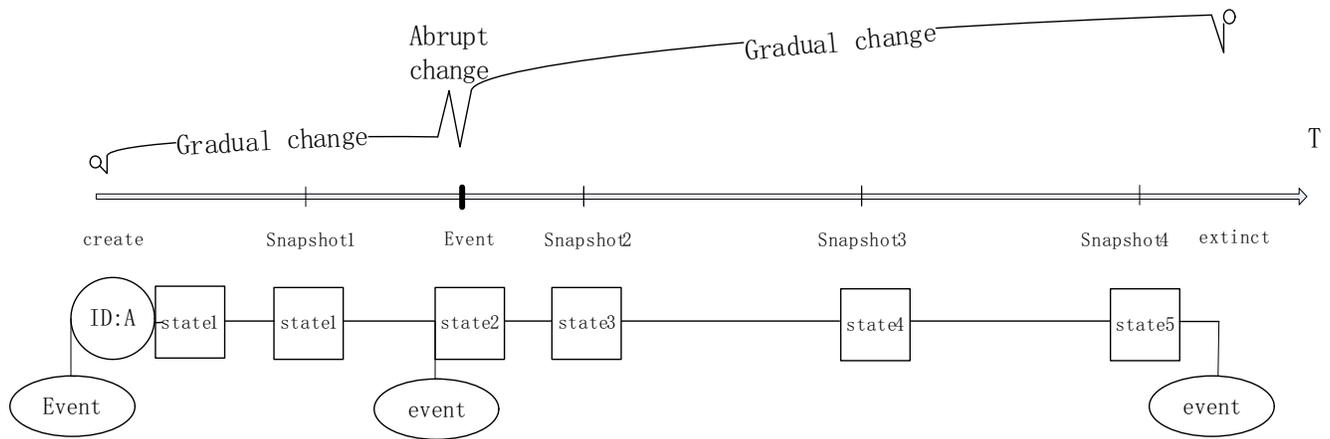


Figure 5: Sequence States: group of the snapshots methods and the events methods.

average DBH, and average tree height, exhibit consecutive and gradual change. Because the data between two years are clearly different, annual updating is conducted to represent evolution. The frequency of change is higher than the shape and discrete attributes..

The sub-compartment can be expressed as follows:

$$Sub\text{-}compartment = \{ID \& Constant\ Attributes, \sum States\} \quad (5)$$

$$States = \sum \{Shape \& DCAttributes, \sum Stand\_Factors\} \quad (6)$$

**4.2 UML Graph** Figure 6 presents a simple UML (Unified Modelling Language) graph of the object-oriented forest spatiotemporal data model designed based on the sequence states that combines the features of the snapshot and event methods. The UML graph aims to describe the relationship between sub-compartment, state, stand state, and event object. We have observed the following conditions: (1) The ForestObject is the superclass of village, Sub-compartment, State and Standstate, and they all inherit the attributes and operations of ForestObject. (2) The object Sub-compartment, State, and Standstate are combined through association relationship to form a diachronic geo-entity. (3) When an event occurs, the related sub-compartments are changed. New states even new sub-compartments are created, previous states are marked as a history States, all these information should be recorded in an event object. (4) The position object, which is under the standstate, is meant to fix the position when Standstate’s attributes are displayed.

**4.3 temporal and spatial constraints** Maintaining spatiotemporal object time consistency is a basic re-

quirement of spatiotemporal data management. Expressions (7), (8), and (9) describe the time constraints between each part of the sub-compartment (Xia, Liu, Liu & Zhang 2014).

The Td (time duration) of the state is equal to the union set of the Td of the stand state (SState), which belongs to the state.

$$State.Td = \bigcup_{SState \in State} SState.Td \quad (7)$$

The Td of the sub-compartment (indicated as SC in the equation) is equal to the sum of the Td of the state that belongs to the sub-compartment.

$$SC.Td = \sum_{state \in SC} State.Td \quad (8)$$

The Td of the village is equal to the union set of the Td of the SC that belongs to the village.

$$Village.Td = \bigcup_{SC \in Village} SC.Td \quad (9)$$

Regardless of how the shape of the sub-compartment changes, it obeys the following rules:

The shape of a sub-compartment should be within the shape of a village if the sub-compartment belongs to the village.

$$SC.State.Shape \in Village.Shape \quad (10)$$

At any time point  $T_i$  in the spatiotemporal process, no gap exists, and the Shape of village is covered by the shape of sub-compartments that belong to the village.

$$Village.shape_{t=ti} = \bigcup_{ti \in State.Td} SC.State.Shape \quad (11)$$

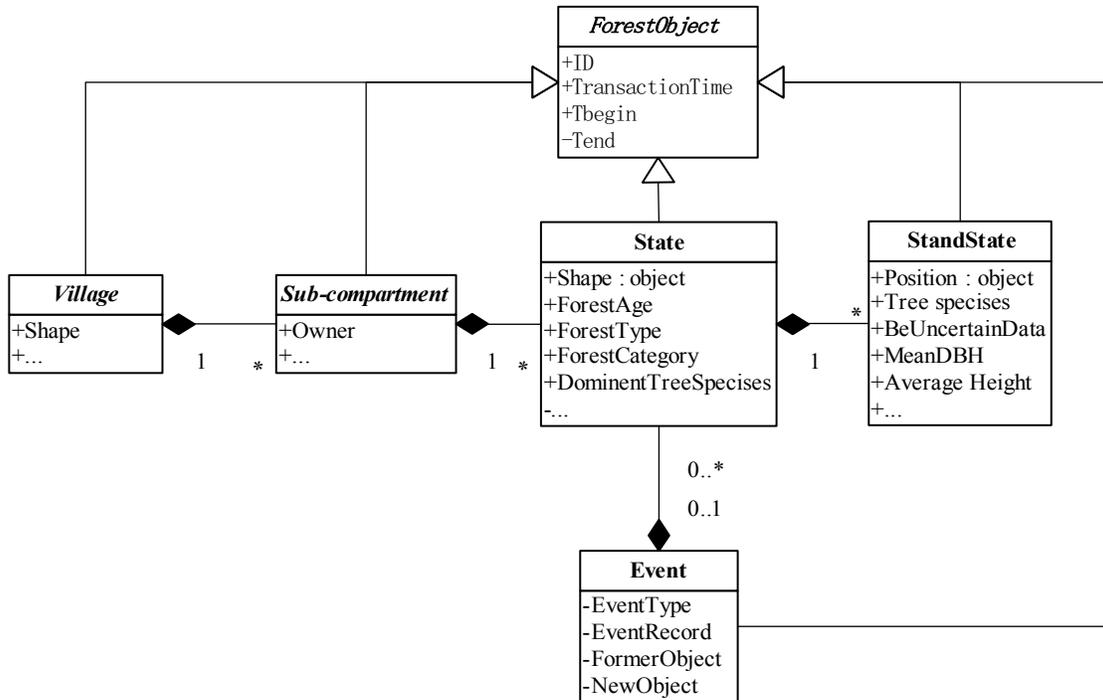


Figure 6: Simple graph of object-oriented forestry spatiotemporal data model.

At any time point  $T_i$  in the spatiotemporal process, no overlap exists between sub-compartments which are in the life span.

$$\bigcap_{ti \in state.Td} SC.State.Shape = \phi \quad (12)$$

**4.4 Evolution of Sub-compartment Objects** Figure 7 shows sub-compartments evolved over time according to the forest resource spatiotemporal data model based on sequence states.

SC-A, SC-B and SC-C was three adjoining sub-compartments which were all created at  $T_1$  Timing. In the following year updating, each new stand state (sstate) was appended as a snapshot to the stand state sequences except  $T_6$  timing, at which year new states from a reset survey were appended to the state sequences.

SC-D was created at  $T_8$  timing in a forestland occupation event (Fig.8) which also led to the extinction of SC-B and state changing of SC-A.

## 5 DATA STORAGE, DATA QUERY, AND DATA DISPLAY

In this section, data storage structures, data query, and data display are discussed with an example of forest resource spatiotemporal database based on the forest resource spatiotemporal model designed in Section 4.

The experimental area was set in the town of Taihuyuan in Linan County, Zhejiang Province, China. Class II survey data in 2005 and forest resources dynamic monitoring data from 2008 to 2012 were used. The spatio-temporal database is built based on arcsde10+ sqlserver2010, and the client program is developed using Visual Studio 2010 and ArcEngine 10.

**5.1 Geo-Entity Evolution** The paper shows the evolution of sub-compartment 018508002-001094 which has experienced an event and involves the following changes: (1) in 2005, the forest resource database was created based on a forest resource survey. (2) From 2008 to 2012, the forest stand factors were investigated each year. (3) In 2010, another forest resource survey was conducted. All information on all sub-compartments was investigated again. (Actually, the interval between two class II surveys in Zhejiang is 10 years, so another class II survey data set is lacking. This is a simulated data set to intensify the effect. The shape is from previous dataset with a few adjustments. ) (4) In 2013, the sub-compartment 018508002-001094 was divided into two parts, one part remained non-forest and another was afforested (became a new sub-compartment 018508002-001701).

**5.2 Data Storage** Figure 9 shows a forest resource spatiotemporal database. To display the change and relationship among the data, most of the columns were

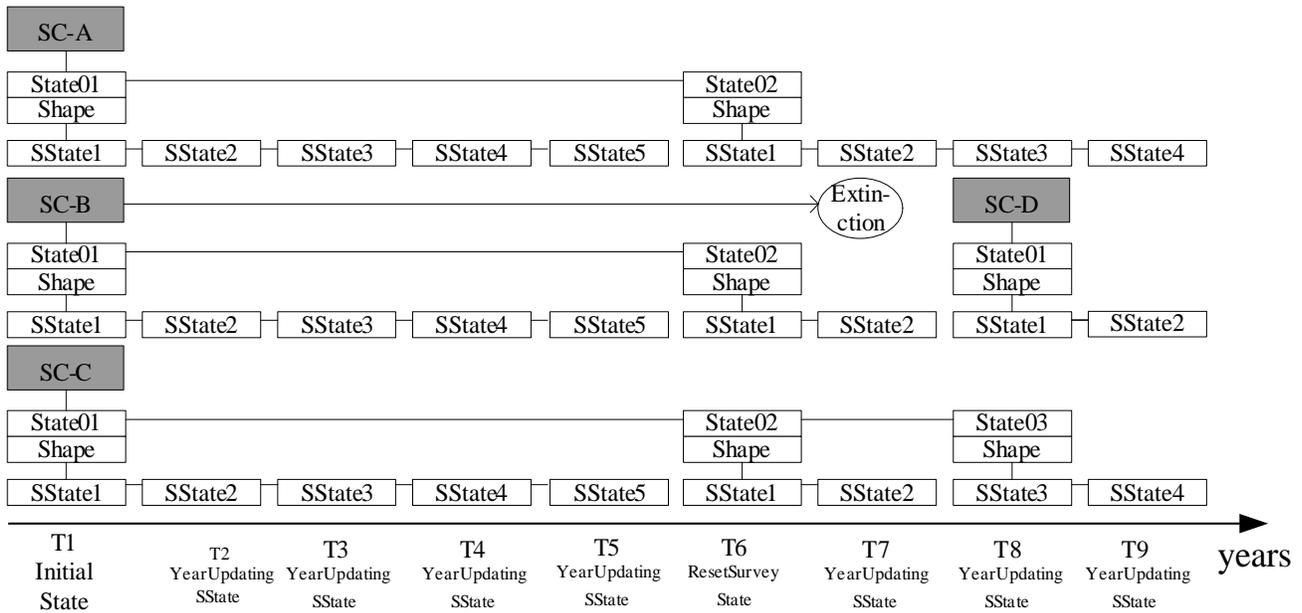


Figure 7: Evolution of Sub-compartments.



Figure 8: Event of forestland occupation.

deleted from the picture. We have observed the following conditions: (1) in 2005, the database was created and all the sub-compartments were placed in storage. (2) Every year since 2008, a stand state table was added to record the forest stand state to represent the gradual change of the stand factors. (3) In 2010, Table State2010 was added after the forest resource survey to represent the gradual change of the shape and other attributes of the sub-compartment. The sub-compartment 018508002-001094 obtained a new state (Stat = 2). (4) In 2013, the sub-compartment 018508002-001094 was transferred to a new state (SID = 3) and a new sub-compartment 018508002-001701 was created for afforestation. The event information was recorded in the event table, and the event ID was recorded in the new state.

## 6 DATA DISPLAY AND RETRIEVAL

Figure 10 presents an example of a client window. The control on the right side is named space-time cube. The space-time cube put forward by Hägerstrand (1970) is the most famous method in 3D spatio-temporal data visualization and it is used to express the evolution of

forestlands in this study. The space-time cube has been delineated clearly and simply in many studies. Two of the cube axes represent space, and the third axis represents time, so the objects in the space-time cube have either a position coordinate or a time coordinate. In this study, the data in the database was all exported into the cube so that all the snapshots of the states and stand states could be observed as well as the events from the view. The stand state is represented by the tree symbols whose shapes and heights correspond to the species Composition and tree heights that are recorded in the stand state objects.

The state change of sub-compartment 018508002-001094 could be observed clearly in the space-time cube. The polygons in green was the shapes of three states of 018508002-001094 (there was no trees in the shapes of 018508002-001094 because the sub-compartment was a non-forest land), the shapes in blue is the new sub-compartments 018508002-001701 cut from 018508002-001094 for afforestation.

Generally, the data in the spatio-temporal database have multidimensional attributes. Therefore, how to display multidimensional information in the space-time cube is another focus of this study. In fact, forestland has so many attributes that only a small number of these attributes can be displayed simultaneously in the cube. The clustering method can be used to reduce dimensionality, but the meaning of the attributes after clustering is unclear for users. In this study, the most representative attributes are selected to be shown in the

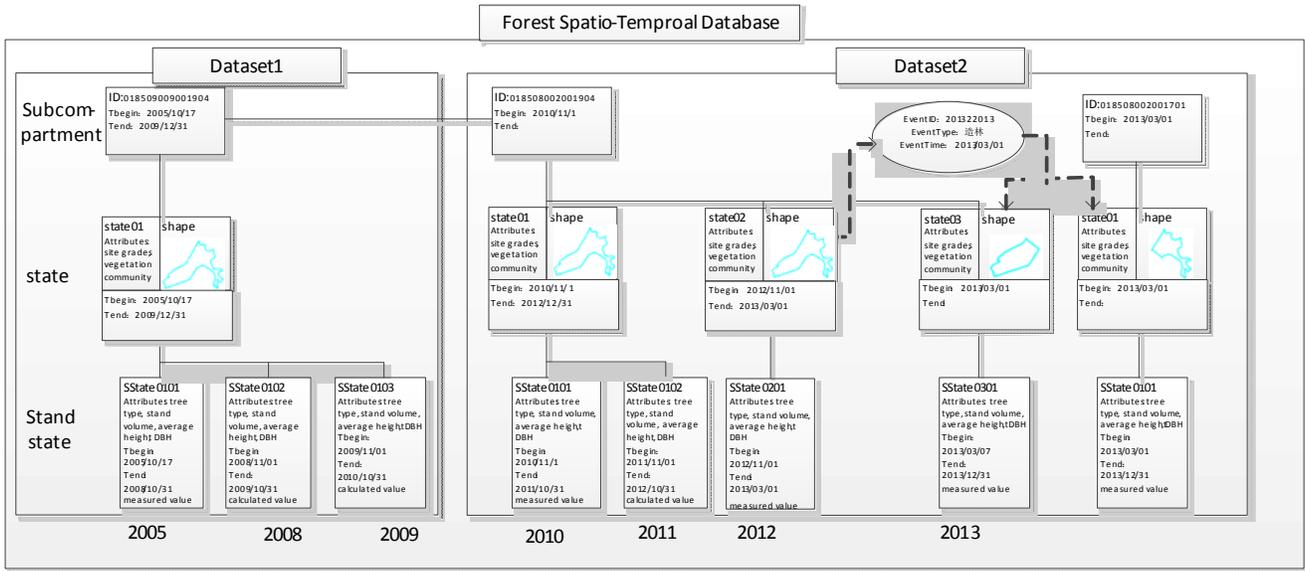


Figure 9: The storage of Sub-compartment Spatiotemporal data.

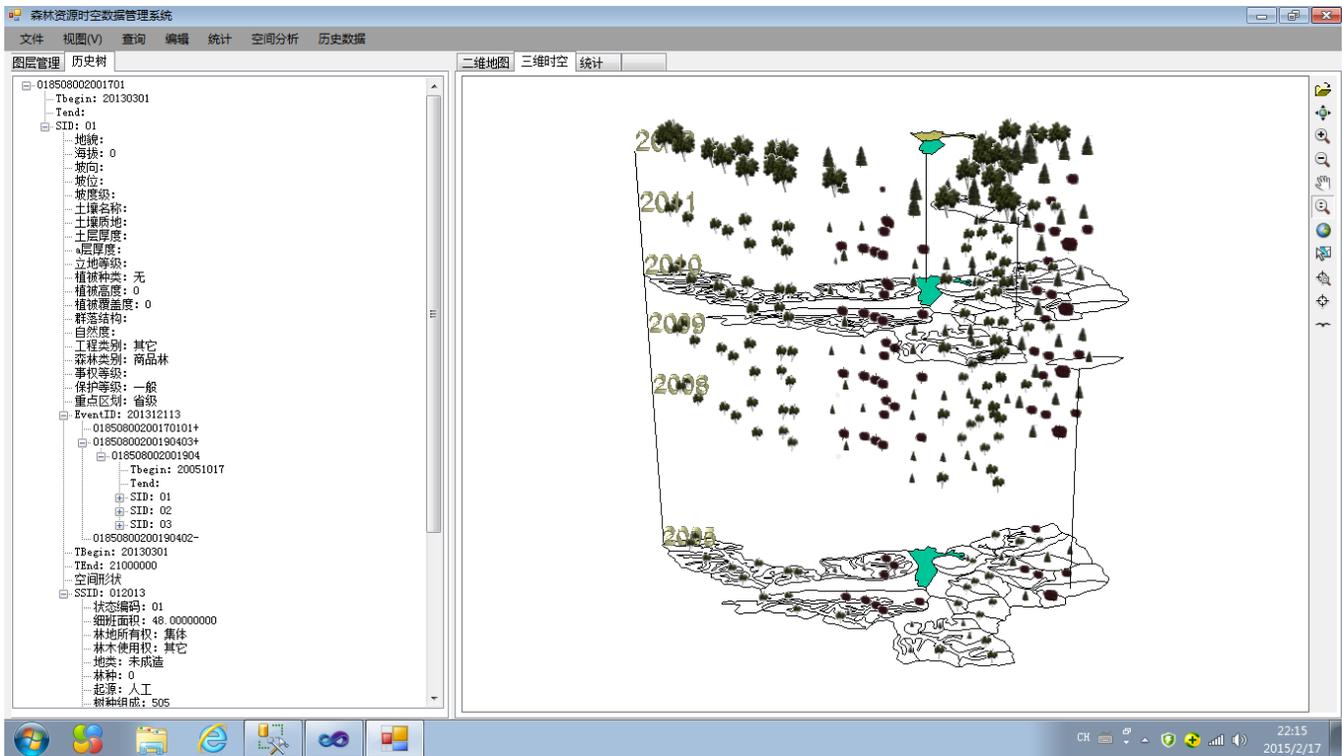


Figure 10: Information on a sub-compartment.

cube. In Figure 7, the stand state layer is added with 3D tree symbols to represent stand information.

Table 2 shows the tree types and 3D symbols. Each tree type has a corresponding 3D symbol. The heights of the symbols are determined by Formula (2). Information

on the dominant species composition and the average tree height is shown in the cube with 3D symbols. Furthermore, information, such as tree diameter at breast height and stand volume, can be expressed in the same way.

Table 2: Tree species and their 3D symbols

Species	Symbol	Species	Symbol	Species	Symbol
Bamboo		Fir		Pine Massoniana	

A tree control on the left side is used to display the classified and time information of spatiotemporal objects, e.g., the information on the sub-compartments (018508002-001701) is found in the control. The information is searched from different tables. The component of ArcEngine used to search is query filter, in which the search criteria is defined by the ‘where’ clause similar to the ‘where’ clause of the SQL sentence. The sub-compartment is to be searched first, where the ‘where’ clause is “SCID = ‘001701’ and vid = ‘018508002’”. The ‘Tbegin’ attribute in the searched row can be used to judge that State2010 is the table that includes the initial state of the sub-compartment. We can also search State2010 with the condition “vid = ‘01851005’ and scid = ‘001701’ and state = ‘01’”. The stand state is searched from the table StandState2010 with the following condition: “vid = ‘01851005’ and SCID = ‘001701’ and State = ‘01’ and standstate = ‘\*2005’”. Every states and stand states that belongs to a sub-compartment can be searched in the same manner.

Historical retrospect and representing a particular historical moment are the proper and necessary functions of the temporal geographic information system. Historical retrospect refers to the search for the ancestors of an entity assigned by the user. Representing a particular historical moment refers to restoring the state to a particular historical moment (Teng, Liu, & Liu 2005).

Historical retrospect depends on the event information, which is described using the sub-compartment 018508002-001701 as an example. As shown in Figure 8, the event information can be retrieved by the ID (201323001) recorded in the state 01, which is the current state of the sub-compartment 018510026-001701. According to the event list, the ID of the father sub-compartment (018508002-001904) and its state can be found and expanded.

## 7 CONCLUSIONS

The paper proposed an object-oriented forest spatiotemporal data model based on sequence states method

to express the sub-compartment evolution process. An example showed that all data can be stored and related well in the database constructed based on the data model. In the client window, not only the history of a sub-compartment but also its ancestor sub-compartment can be retrieved and displayed. Using space time cube and history tree to visualize the evolution of forest land is a feature of this study.

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